

Annexure - I

Holding of specified securities (Statement Showing Shareholding Pattern)

1. **Name of Listed Entity : SUBEX LIMITED**

2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY

3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
a. If under 31(1)(b) then indicate the report for Quarter ending **30-June-2020**
b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form (XIV)	
								Class eg: X	Class eg: Y	No of Voting Total			Total as a % of (A+B+C)	No.(a)	As a % of total Shares held (b)	No.(a)		As a % of total Shares held (b)
A.	PROMOTER & PROMOTER GROUP	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
B.	PUBLIC	101001	539834728	0	0	539834728	96.06	539834728	0	539834728	96.06	0	96.06	0	0.00	0	0	539793079
C.	NON PROMOTER-NON PUBLIC	2	21925000	0	243207	22168207	3.94	22168207	0	22168207	3.94	0	3.94	0	0.00	0	0	22168207
C1.	SHARES UNDERLYING DRIS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
C2.	SHARES HELD BY EMPLOYEE TRUSTS#	1	21925000	0	0	21925000	3.90	21925000	0	21925000	3.90	0	3.90	0	0.00	0	0	21925000
	Total	101003	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100.00	0	0.00	0	0	561961286

*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

In accordance with Clause 5 of Chapter II of the SEBI (Share Based Employee Benefits), Regulations, 2014, the Trustees of the Trust will not vote in respect of shares held by the Trust.

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									Table II			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								No of Voting Rights										
								Class X	Class Y	Total								
1. INDIAN																		
a. Individuals/Hindu undivided Family			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
Names :																		
Subash Menon			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
Sudeesh Yezhuvath			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
b. Central Government/ State Government(s)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
c. Financial Institutions/ Banks			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
								0		0	0.00		0.00					
d. ANY OTHER								0		0	0.00		0.00					
Bodies Corporate			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
Names:																		
Kivar Holdings Private Limited			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
Sub-Total (A)(1)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
								0		0	0.00		0.00					
2. FOREIGN								0		0	0.00		0.00					
a. Individuals (Non- Resident Individuals/ Foreign Individuals)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
b. Government			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
c. Institutions			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
d. Foreign Portfolio Investor			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
f. ANY OTHER								0		0	0.00		0.00					
								0		0	0.00		0.00					
Sub-Total (A)(2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
								0		0	0.00		0.00					
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (As a % of Total Voting rights)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted shares)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
								Class X	Class Y	Total								
1. INSTITUTIONS																		
a. Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
b. Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
c. Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
d. Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA		0
e. Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		0
Names:																		
f. Financial Institutions/ Banks		4	456809	0	0	456809	0.08	456809	0	456809	0.08	0	0.08	0	0	NA		456809
g. Insurance Companies		2	78764	0	0	78764	0.01	78764	0	78764	0.01	0	0.01	0	0	NA		78764
h. Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA		0
i. ANY OTHER								0		0			0.00					
CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA		0
FOREIGN INSTITUTIONAL INVESTORS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		0
FOREIGN FINANCIAL INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		0
								0		0			0.00					
Sub-Total (B)(1)		6	535573	0	0	535573	0.10	535573	0	535573	0.10	0	0.10	0	0			535573
								0		0			0.00					
2. Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA		0
								0		0			0.00					
Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0			0
								0		0			0.00					
3. NON-INSTITUTIONS																		
a. INDIVIDUALS -																		
I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		93566	138507639	0	0	138507639	24.65	138507639	0	138507639	24.65	0	24.65	0	0	NA		138466475

Table III

II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		2266	259046169	0	0	259046169	46.09	259046169	0	259046169	46.09	0	46.09	0	0	NA	259046169
Names :																	
JOSEPH JIVANAYAKAM DANIEL	AABPD5922F		9200000	0	0	9200000	1.64	9200000	0	9200000	1.64	0	1.64	0	0	NA	9200000
SHAILESH V HARIBHAKTI	AAAPH0574L		6293000	0	0	6293000	1.12	6293000	0	6293000	1.12	0	1.12	0	0	NA	6293000
RAJESH GOENKA	ADQPG1096D		6100000	0	0	6100000	1.09	6100000	0	6100000	1.09	0	1.09	0	0	NA	6100000
NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
Overseas Depositories (holding DRs)																	
(balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
ANY OTHER													0.00				
BODIES CORPORATE		734	93047101	0	0	93047101	16.56	93047101	0	93047101	16.56	0	16.56	0	0	NA	93046636
Names :													0.00				
STOCK HOLDING CORPORATION OF INDIA LTD - A/C NSE DERIVATIVES	AABCS1429B		17584478	0	0	17584478	3.13	17584478	0	17584478	3.13	0	3.13	0	0	NA	17584478
EDELWEISS CUSTODIAL SERVICES LIMITED	AACCE0677N		11688192	0	0	11688192	2.08	11688192	0	11688192	2.08	0	2.08	0	0	0	11688192
ANAGHA ADVISORS LLP	AASFA4026A		7769543	0	0	7769543	1.38	7769543	0	7769543	1.38	0	1.38	0	0	0	7769543
GOENKA SECURITIES PVT LTD	AABCG0003H		7500000	0	0	7500000	1.33	7500000	0	7500000	1.33	0	1.33	0	0	0	7500000
TRUSTS		5	353300	0	0	353300	0.06	353300	0	353300	0.06	0	0.06	0	0	NA	353300
DIRECTOR & THEIR RELATIVES		3	554095	0	0	554095	0.10	554095	0	554095	0.10	0	0.10	0	0	NA	554095
FOREIGN NATIONALS		25	81194	0	0	81194	0.01	81194	0	81194	0.01	0	0.01	0	0	NA	81194
NON RESIDENT INDIANS		842	10772280	0	0	10772280	1.92	10772280	0	10772280	1.92	0	1.92	0	0	NA	10772280
CLEARING MEMBERS		208	3182721	0	0	3182721	0.57	3182721	0	3182721	0.57	0	0.57	0	0	NA	3182721
HINDU UNDIVIDED FAMILIES		3277	27214159	0	0	27214159	4.84	27214159	0	27214159	4.84	0	4.84	0	0	NA	27214159
OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
FOREIGN CORPORATE BODIES		2	5416874	0	0	5416874	0.96	5416874	0	5416874	0.96	0	0.96	0	0	NA	5416874
Names :																	
ESOPs/ ESOS/ESPS/ EMPLOYEE SHARE HOLDERS		67	1123623	0	0	1123623	0.20	1123623	0	1123623	0.20	0	0.20	0	0	NA	1123603
Sub-Total (B)(3)		100995	539299155	0	0	539299155	95.96	539299155	0	539299155	95.96	0	95.96	0	0	0	539257506
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		101001	539834728	0	0	539834728	96.06	539834728	0	539834728	96.06	0	96.06	0	0.00	NA	539793079

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Name of the PAC	No. of Shares	% of PAC															
N.A.																	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including	Total Shareholding, as a % assuming full conversion of convertible securities (as a	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)
									No. of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable)	
									Class X	Class Y	Total								
1.	Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA	243207	
2.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)- Subex Employee Welfare and ESOP Benefit Trust	AAWTS1371G	1	21925000	0	0	21925000	3.90	21925000	0	21925000	3.90	0	3.90	0	0	NA	21925000	
	Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		2	21925000	0	243207	22168207	3.94	22168207	0	22168207	3.94	0	3.94	0	0	NA	22168207	

Table V- Statement showing details of significant beneficial owners (SBOs)									
Sr. No	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)		Date of creation / acquisition of significant beneficial interest# (IV)
	NAME	PAN / Passport No. in case of a foreign national	Nationality	NAME	PAN / Passport No. in case of a foreign national	Nationality	Whether by virtue of:		
1	Nil	NA	NA	Nil	NA	NA	Shares	%	NA
							Voting rights	%	
							Rights on distributable dividend or any other distribution	%	
							Exercise of control		
							Exercise of significant influence		
2	Nil	NA	NA	Nil	NA	NA	Shares	%	NA
							Voting rights	%	
							Rights on distributable dividend or any other distribution	%	
							Exercise of control		
							Exercise of significant influence		
3	Nil	NA	NA	Nil	NA	NA	Shares	%	NA
							Voting rights	%	
							Rights on distributable dividend or any other distribution	%	
							Exercise of control		
							Exercise of significant influence		

The Company has not received any declarations pertaining to Significant Beneficial Ownership (SBO).