

1. Name of Listed Entity: Subex Limited
2. Scrip Code/Name of Scrip/Class of Security: SUBEXLTD
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	Category
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	Non Promoter Non Public
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders	No. of fully paid up equity	No. of Partly paid-up	No. of shares underlying	Total nos. shares	Shareholding as a % of total no. of shares	Number of Voting Rights held in each class of securities				No. of Shares Underlying	Shareholding, as a % assuming full conversion	Number of Locked in	Number of Shares pledged or		Number of equity shares held											
								(III)	shares held (IV)	equity shares held (V)	Depository Receipts (VI)				held (VII) = (IV)+(V)+(VI)	(calculated per SCRR, 1957) (VIII)		(IX)			Outstandi convertible securities (including Warrants) (X)	of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	(XII)		encumbered (XIII)	in dematerialize form (XIV)		
																		As a % of (A+B+C2)	No of Voting Rights				Total as a % of (A+B+C)	No. (a)			As a % of total Shares held (b)	
																			Class eg: X	Class eg: y								Total
A	Promoter & Promoter Group	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0											
B	Public	140500	540864228	0		540864228	96.28	540864228	0	540864228	96.24	0	96.28	0	0			540768992										
C	Non Promoter-Non Public	2	20895500	0	243207	21138707	3.76	21138707	0	21138707	3.76	0	3.72	0	0	0	0	21138707										
C1	Shares underlying DRs	1	0	0	243207	243207	0	243207	0	243207	0.04	0	0	0	0			243207										
C2	Shares held by Employee Trusts	1	20895500	0	0	20895500	3.72	20895500	0	20895500	3.72	0	3.72	0	0			20895500										
	Total	140502	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100	0	0			561907699										

	(A)(1)																		
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0		0	0	0	0	0	0	0	0	0	0			0
b	Government		0	0	0		0	0	0	0	0	0	0	0	0	0			0
c	Institutions		0	0	0		0	0	0	0	0	0	0	0	0	0			0
d	Foreign Portfolio Investor		0	0	0		0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		0	0	0		0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Share - holding % calculated as per SCRR	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (as a percent	Total shareholding, as a % assuming full conversion of convertible securities (as a percent	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
									Class X	C	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0			0	

b	Venture Capital Funds		0	0	0		0	0	0	0	0	0	0	0	0		0	
c	Alternate Investment Funds		0	0	0		0	0	0	0	0	0	0	0	0		0	
d	Foreign Venture Capital Investors		0	0	0		0	0	0	0	0	0	0	0	0		0	
e	Foreign Portfolio Investors		2	188308	0		188308	0.03	188308	0	188308	0.03	0	0.03	0	0		188308
f	Financial Institutions/ Banks		3	59107	0		59107	0.01	59107	0	59107	0.01	0	0.01	0	0		59107
g	Insurance Companies		0	0	0		0	0	0	0	0	0	0	0	0		0	
h	Provident Funds/ Pension Funds		0	0	0		0	0	0	0	0	0	0	0	0		0	
i	Any Other (specify)		1	75000	0		75000	0.01	75000	0	75000	0.01	0	0.01	0	0		75000
	Other		75000	75000	0		75000	0.01	75000	0	75000	0.01	0	0.01	0	0		0
	Sub-Total (B)(1)		6	322415	0	0	322415	0.05	322415	0	322415	0.05	0	0.05	0	0		322415
2	Central Government/ State Government(s) / President of India		0	0	0		0	0	0	0	0	0	0	0	0		0	
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0		0	
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		134700	417791710	0	0	417791710	74.37	417791710	0	417791710	74.34	0	74.37	0	0	0	417696959
i	Individual shareholders holding		133490	179889131	0		179889131	32.02	179889131	0	179889131	32.01	0	32.02	0	0		179794380

	nominal share capital up to Rs. 2 lakhs.																	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		1210	237902579	0		237902579	42.35	237902579	0	237902579	42.33	0	42.35	0	0		237902579
	JOSEPH JIVANAYAKAM DANIEL		1	9200000	0		9200000	1.64	9200000	0	9200000	1.64	0	1.64	0	0		9200000
	SHAILESH V HARIBHAKTI		1	6340000	0		6340000	1.13	6340000	0	6340000	1.13	0	1.13	0	0		6340000
	RAJESH GOENKA		1	6100000	0		6100000	1.09	6100000	0	6100000	1.09	0	1.09	0	0		6100000
b	NBFCs registered with RBI		2	300600	0		300600	0.05	300600	0	300600	0.05	0	0.05	0	0		300600
c	Employee Trusts		0	0	0		0	0	0	0	0	0	0	0	0	0		0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0		0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)		5792	122449503	0		122449503	21.8	122449503	0	122449503	21.79	0	21.8	0	0		122449018
	Trusts		7	1977711	0		1977711	0.35	1977711	0	1977711	0.35	0	0.35	0	0		1977711
	Employees		78	1990357	0		1990357	0.35	1990357	0	1990357	0.35	0	0.35	0	0		1990337
	Non-Resident Indian (NRI)		1349	16532465	0		16532465	2.94	16532465	0	16532465	2.94	0	2.94	0	0		16532465
	Clearing member		249	4404186	0		4404186	0.78	4404186	0	4404186	0.78	0	0.78	0	0		4404186
	Director or Director's Relatives		3	754095	0		754095	0.13	754095	0	754095	0.13	0	0.13	0	0		754095

HUF		3349	23732237	0		23732237	4.22	23732237	0	23732237	4.22	0	4.22	0	0		23732237
Foreign Nationals		25	81194	0		81194	0.01	81194	0	81194	0.01	0	0.01	0	0		81194
Bodies Corporate		732	72977258	0		72977258	12.99	72977258	0	72977258	12.99	0	12.99	0	0		72976793
Sub-Total (B)(3)		140494	540541813	0	0	540541813	96.22	540541813	0	540541813	96.18	0	96.22	0	0		540446577
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		140500	540864228	0	0	540864228	96.27	540864228	0	540864228	96.23	0	96.27	0	0		540768992
UNO METALS LTD		1	9432000	0		9432000	1.68	9432000	0	9432000	1.68	0	1.68	0	0		9432000
AKG FINVEST PRIVATE LTD		1	9000000	0		9000000	1.6	9000000	0	9000000	1.6	0	1.6	0	0		9000000
ALTRUIST CUSTOMER MANAGEMENT INDIA PRIVATE LIMITED		1	6835641	0		6835641	1.22	6835641	0	6835641	1.22	0	1.22	0	0		6835641
ANAGHA ADVISORS LLP		1	7769543	0		7769543	1.38	7769543	0	7769543	1.38	0	1.38	0	0		7769543

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareh	No. of fully	Partly paid-up	Nos. of shares	Total no. shares	Share-holding %	Number of Voting Rights held in each class of securities			No. of Shares	Total shareholding	Number of Locked in	Number of Shares	Number of equity			
		older (III)	paid up equity share s held (IV)	equit share held (V)	underlyin Deposito Receipt (VI)	held (VII = IV+V+VI)	calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	(IX)			Underlyi , as a Warrant (X)	, as a full securiti conver of securit as a percen of shar capit (XI)	(XII)		(XIII)		shares pledged or otherwise encumbered (XIII)	shares in demateriali ed form (XIV)
			No of Voting Rights			Total as a % of Total Voting rights		No.	As a % of total Shares held	No. (Not applicable)			As a % of total shares held (Not applicable)					
			Class X	Cl a s s Y	Tot al													
1	Custodian/DR Holder	1	0	0	243207	243207		243207	0	243207	0.04	0	0	0	0			243207
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	20895500	0	0	20895500	3.72	20895500	0	20895500	3.72	0	3.72	0	0			20895500
	Subex Employee Welfare and ESOP Benefit Trust	1	20895500	0	0	20895500	3.72	20895500	0	20895500	3.72	0	3.72	0	0			20895500

Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	2	20895500	0	243207	21138707	3.72	21138707	0	21138707	3.76	0	3.72	0	0	0	0	21138707
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Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %