

1. Name of Listed Entity: Subex Limited
2. Scrip Code/Name of Scrip/Class of Security: SUBEX
3. Share Holding Pattern Filed under: 31 (1) (b)
4. Share Holding Pattern as on : 30-Sep-2020
5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	Non Promoter Non Public
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	P a r t i c i p a	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Sha reh ol din g % calc ulat ed as per	Number of Voting Rights held in each class of securities (IX)			No. of Shares Und erlyi ng Outs tandi ng	Total sharehold in g, as a % assuming full conversio n of convertib le	Number of Locked in shares (XII)		Number of Shares pledged or		Number of equity shares held in demateri aliz ed form (XIV)	
									No of Voting Rights					Total as a % of	No. (a)	As a % of total Sha	No. (N o t ap pli		As a % of total shar es held
									Class X	C	Tot al								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
a	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
c	Alternate Investment Funds		1	1997119	0	0	1997119	0.36	1997119	0	1997119	0.36	0	0.36	0	0			1997119
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
e	Foreign Portfolio Investors		1	1832524	0	0	1832524	0.33	1832524	0	1832524	0.33	0	0.33	0	0			1832524
f	Financial Institutions/ Banks		3	59107	0	0	59107	0.01	59107	0	59107	0.01	0	0.01	0	0			59107
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
i	Any Other (specify)		1	75000	0	0	75000	0.01	75000	0	75000	0.01	0	0.01	0	0			75000
	Sub-Total (B)(1)		6	3963750	0	0	3963750	0.71	3963750	0	3963750	0.71	0	0.71	0	0			3963750
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0			0	

3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		89386	401291588	0	0	401291588	71.43	401291588	0	401291588	71.4	0	71.43	0	0		401250424
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		87158	126515327	0	0	126515327	22.52	126515327	0	126515327	22.51	0	22.52	0	0		126474163
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		2228	274776261	0	0	274776261	48.91	274776261	0	274776261	48.89	0	48.91	0	0		274776261
	JOSEPH JIVANAYAKAM DANIEL		1	9200000	0	0	9200000	1.64	9200000	0	9200000	1.64	0	1.64	0	0		9200000
	RAJESH GOENKA		1	6100000	0	0	6100000	1.09	6100000	0	6100000	1.09	0	1.09	0	0		6100000
	SHAILESH V HARIBHAKTI		1	6340000	0	0	6340000	1.13	6340000	0	6340000	1.13	0	1.13	0	0		6340000
b	NBFCs registered with RBI		1	600	0	0	600	0	600	0	600	0	0	0	0	0		600
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)		4922	134793790	0	0	134793790	23.99	134793790	0	134793790	23.98	0	23.99	0	0		134793305
	Bodies Corporate		632	83567970	0	0	83567970	14.88	83567970	0	83567970	14.87	0	14.88	0	0		83567505
	UNO METALS LTD		1	6700000	0	0	6700000	1.19	6700000	0	6700000	1.19	0	1.19	0	0		6700000
	AKG FINVEST PRIVATE LTD		1	7500000	0	0	7500000	1.34	7500000	0	7500000	1.33	0	1.34	0	0		7500000
	ALTRUIST CUSTOMER MANAGEMENT INDIA PRIVATE LIMITED		1	12508930	0	0	12508930	2.23	12508930	0	12508930	2.23	0	2.23	0	0		12508930

ANAGHA ADVISORS LLP		1	7769543	0	0	7769543	1.38	7769543	0	7769543	1.38	0	1.38	0	0		7769543
Clearing member		195	8338392	0	0	8338392	1.48	8338392	0	8338392	1.48	0	1.48	0	0		8338392
Director or Director's Relatives		3	754095	0	0	754095	0.13	754095	0	754095	0.13	0	0.13	0	0		754095
Employees		83	1387173	0	0	1387173	0.25	1387173	0	1387173	0.25	0	0.25	0	0		1387153
Foreign Nationals		25	81194	0	0	81194	0.01	81194	0	81194	0.01	0	0.01	0	0		81194
HUF		3097	27493171	0	0	27493171	4.89	27493171	0	27493171	4.89	0	4.89	0	0		27493171
Non-Resident Indian (NRI)		881	12803495	0	0	12803495	2.28	12803495	0	12803495	2.28	0	2.28	0	0		12803495
Trusts		6	368300	0	0	368300	0.07	368300	0	368300	0.07	0	0.07	0	0		368300
Sub-Total (B)(3)		94309	536085978	0	0	536085978	95.42	536085978	0	536085978	95.38	0	95.42	0	0		536044329
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		94315	540049728	0	0	540049728	96.13	540049728	0	540049728	96.09	0	96.13	0	0		540008079

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Part paid equity shares held (V)	Nos. of shares underlying Depositor Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding calculated as SCRR, 1957 As a % (A+B+C) (VIII)	Number of Voting Rights held each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrant) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total	No.	As a % of total Shares	No.		As a % of total shares held
								Class X	C									
1	Custodian/DR Holder	1	0	0	243207	243207		243207	0	243207	0.04	0	0	0	0	0	243207	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	21710000	0	0	21710000	3.86	21710000	0	21710000	3.86	0	0	0	0	0	21710000	
	Subex Employee Welfare and ESOP Benefit Trust	1	21710000	0	0	21710000	3.86	21710000	0	21710000	3.86	0	0	0	0	0	21710000	
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	2	21710000	0	243207	21953207		21953207	0	21953207	3.90	0	0	0	0	0	21953207	

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %