

Annexure - I

Holding of specified securities (Statement Showing Shareholding Pattern)

1. **Name of Listed Entity : SUBEX LIMITED**

2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY

3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
a. If under 31(1)(b) then indicate the report for Quarter ending **30-Sep-2019**
b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)*	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting			Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A.	PROMOTER & PROMOTER GROUP	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
B.	PUBLIC	103356	543719666	0	0	543719666	96.75	543719666	0	543719666	96.75	0	96.75	0	0.00	0	0	543678017
C.	NON PROMOTER-NON PUBLIC	2	18040062	0	243207	18283269	3.25	18283269	0	18283269	3.25	0	3.25	0	0.00	0	0	18283269
C1.	SHARES UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
C2.	SHARES HELD BY EMPLOYEE TRUSTS#	1	18040062	0	0	18040062	3.21	18040062	0	18040062	3.21	0	0.00	0	0.00	0	0	18040062
	Total	103358	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100.00	0	0.00	0	0	561961286

*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

In accordance with Clause 5 of Chapter II of the SEBI (Share Based Employee Benefits), Regulations, 2014, the Trustees of the Trust will not vote in respect of shares held by the Trust,

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																		
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class X	Class Y	Total								
1. INDIAN																		
a. Individuals/Hindu undivided Family			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Names :																		
Subash Menon			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Sudeesh Yezhuvath			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
b. Central Government/ State Government(s)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
c. Financial Institutions/ Banks			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
d. ANY OTHER								0		0	0.00		0.00					
Bodies Corporate			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Names:																		
Kivar Holdings Private Limited			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Sub-Total (A)(1)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
								0		0	0.00		0.00					
2. FOREIGN													0.00					
a. Individuals (Non-Resident Individuals/ Foreign Individuals)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
b. Government			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
c. Institutions			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
d. Foreign Portfolio Investor			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
f. ANY OTHER								0		0	0.00		0.00					
								0		0	0.00		0.00					
Sub-Total (A)(2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
								0		0	0.00		0.00					
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)		
								Class X	Class Y									
1. INSTITUTIONS																		
a. Mutual Funds/			0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
b. Venture Capital Funds			0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
c. Alternate Investment Funds			0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
d. Foreign Venture Capital Investors			0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
e. Foreign Portfolio Investors			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
Names:																		
f. Financial Institutions/ Banks			5	3380081	0	0	3380081	0.60	3380081	0	3380081	0.60	0	0.60	0	0	NA	3380081
g. Insurance Companies			2	78764	0	0	78764	0.01	78764	0	78764	0.01	0	0.01	0	0	NA	78764
h. Provident Funds/ Pension Funds			0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	NA	0	
i. ANY OTHER								0		0		0.00						
CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)			0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	NA	0	
FOREIGN INSTITUTIONAL INVESTORS			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
FOREIGN FINANCIAL INSTITUTIONS			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
								0		0		0.00						
Sub-Total (B)(1)			7	3458845	0	0	3458845	0.62	3458845	0	3458845	0.62	0	0.62	0		3458845	
									0			0.00						
Central Government/ State Government(s)/ President of India			0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	NA	0	
									0			0.00						
Sub-Total (B)(2)			0	0	0	0	0.00	0	0	0	0	0.00	0	0	0		0	
									0			0.00						
3. NON-INSTITUTIONS																		
a. INDIVIDUALS -									0			0.00						
1. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.			95598	147885814	0	0	147885814	26.31	147885814	0	147885814	26.31	0	26.31	0	0	NA	147844651

Table III

II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		2350	240327313	0	0	240327313	42.76	240327313	0	240327313	42.76	0	42.76	0	0	NA	240327313
Names :																	
RAJESH GOENKA	ADPG1096D		8500000	0	0	8500000	1.51	8500000	0	8500000	1.51	0	0.00	0	0	NA	8500000
KRISHNI DEVI GOENKA	ADPPG1236L		6000000	0	0	6000000	1.07	6000000	0	6000000	1.07	0	0.00	0	0	NA	6000000
SHIVANI TARUN HARIBHAKTI	AGSPD0531H		5900000	0	0	5900000	1.05	5900000	0	5900000	1.05	0	0.00	0	0	NA	5900000
NBFCs registered																	
b. with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
c. Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
d. Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
e. ANY OTHER																	
BODIES CORPORATE		841	104530172	0	0	104530172	18.60	104530172	0	104530172	18.60	0	18.60	0	0	NA	104529707
Names :																	
EDELWEISS CUSTODIAL SERVICES LIMITED	AACCE0677N		10535938	0	0	10535938	1.87	10535938	0	10535938	1.87	0	1.87	0	0	0	10535938
UNO METALS LTD	AAACU3053E		10450000	0	0	10450000	1.86	10450000	0	10450000	1.86	0	1.86	0	0	0	10450000
AKG FINVEST PRIVATE LTD	AADCA8306P		6972000	0	0	6972000	1.24	6972000	0	6972000	1.24	0	1.24	0	0	0	6972000
STOCK HOLDING CORPORATION OF INDIA LTD - A/C NSE DERIVATIVES	AABCS1429B		6754069	0	0	6754069	1.20	6754069	0	6754069	1.20	0	1.20	0	0	0	6754069
ALTRUIST TECHNOLOGIES PRIVATE LIMITED	AAACA3725N		6323819	0	0	6323819	1.13	6323819	0	6323819	1.13	0	1.13	0	0	0	6323819
GANPATI DEALCOM PRIVATE LTD	AACCG9284H		6000000	0	0	6000000	1.07	6000000	0	6000000	1.07	0	1.07	0	0	0	6000000
JM FINANCIAL SERVICES LIMITED	AAACJ5977A		5960000	0	0	5960000	1.06	5960000	0	5960000	1.06	0	1.06	0	0	0	5960000
TRUSTS		7	356300	0	0	356300	0.06	356300	0	356300	0.06	0	0.06	0	0	NA	356300
DIRECTOR & THEIR RELATIVES		2	79095	0	0	79095	0.01	79095	0	79095	0.01	0	0.01	0	0	NA	79095
FOREIGN NATIONALS		25	81194	0	0	81194	0.01	81194	0	81194	0.01	0	0.01	0	0	NA	81194
NON RESIDENT INDIANS		884	11846210	0	0	11846210	2.11	11846210	0	11846210	2.11	0	2.11	0	0	NA	11846210
CLEARING MEMBERS		87	573418	0	0	573418	0.10	573418	0	573418	0.10	0	0.10	0	0	NA	573418
HINDU UNDIVIDED FAMILIES		3477	27442897	0	0	27442897	4.88	27442897	0	27442897	4.88	0	4.88	0	0	NA	27442897
OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
FOREIGN CORPORATE BODIES		3	6175870	0	0	6175870	1.10	6175870	0	6175870	1.10	0	1.10	0	0	NA	6175870
Names :																	
ESOPs/ ESOS/ESPS/ EMPLOYEE SHARE HOLDERS		75	962538	0	0	962538	0.17	962538	0	962538	0.17	0	0.17	0	0	NA	962538
Sub-Total (B)(3)		103349	540260821	0	0	540260821	96.13	540260821	0	540260821	96.13	0	96.13	0	0	NA	540219172
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		103356	543719666	0	0	543719666	96.75	543719666	0	543719666	96.75	0	96.75	0	0.00	NA	543678017
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																	
Name of the PAC	No. of Shares	% of PAC															
N.A.																	
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Share-holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)	
									No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
									Class X	Class Y	Total								
1.	Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA	243207	
2.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)-Subex Employee Welfare and ESOP Benefit Trust	AAWTS1371G	1	18040062	0	0	18040062	3.21	18040062	0	18040062	3.21	0	0.00	0	0	NA	18040062	
	Total Non-Promoter- Non Public Shareholding (C)=(1)+(C)(2)		2	0	0	243207	18283269	3.25	18283269	0	18283269	3.25	0	0.04	0	0	NA	18283269	

Table V- Statement showing details of significant beneficial owners (SBOs)

Sr. No	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)		Date of creation / acquisition of significant beneficial interest# (IV)
	NAME	PAN / Passport No. in case of a foreign national	Nationality	NAME	PAN / Passport No. in case of a foreign national	Nationality	Whether by virtue of:		
1	Nil	NA	NA	Nil	NA	NA	Shares	%	NA
							Voting rights	%	
							Rights on distributable dividend or any	%	
							Exercise of control		
							Exercise of significant		
2	Nil	NA	NA	Nil	NA	NA	Shares	%	NA
							Voting rights	%	
							Rights on distributable dividend or any	%	
							Exercise of control		
							Exercise of significant		
3	Nil	NA	NA	Nil	NA	NA	Shares	%	NA
							Voting rights	%	
							Rights on distributable dividend or any	%	
							Exercise of control		
							Exercise of significant		

The Company has not received any declarations pertaining to Significant Beneficial Ownership (SBO).