

**Holding of specified securities ( Statement Showing Shareholding Pattern )**

1. **Name of Listed Entity : SUBEX LIMITED**
2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending **30-June-2018**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?	YES	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)*	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting			Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A.	PROMOTER & PROMOTER GROUP	3	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.17	0	0	101801	10.4514	974044
B.	PUBLIC	113514	560785684	0	0	560785684	99.78	560785684	0	560785684	99.78	0	99.78	55094999	9.82	0	0	560739363
C.	NON PROMOTER- NON PUBLIC	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
C1.	SHARES UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0.00	0	0	243207
C2.	SHARES HELD BY EMPLOYEE TRUSTS	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
	Total	113518	561759728	0	243207	562002935	100	562002935	0	562002935	100	0	100.00	55094999	9.80	101801	0.02	561956614

\*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																			
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)		
								Class X	Class Y	Total									
<b>1. INDIAN</b>																			
<b>Individuals/Hindu undivided Family</b>																			
a.			2	452844	0	0	452844	0.08	452844	0	452844	0.08	0	0.08	0	0	80601	17.80	452844
Names :									0	0	0	0.00		0.00					
Subash Menon	AATPM5809J			80601	0	0	80601	0.01	80601	0	80601	0.01	0	0.01	0	0	80601	100.00	80601
Sudeesh Yezhuvath	AAAPY9060G			372243	0	0	372243	0.07	372243	0	372243	0.07	0	0.07	0	0	0	0.00	372243
<b>Central Government/ State Government(s)</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
b.																			
<b>Financial Institutions/ Banks</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
c.																			
Names :									0	0	0	0.00		0.00					
<b>ANY OTHER</b>									0	0	0	0.00		0.00					
<b>Bodies Corporate</b>			1	521200	0	0	521200	0.09	521200	0	521200	0.09	0	0.09	0	0	21200	4.07	521200
Names :									0	0	0	0.00		0.00					
KIVAR HOLDINGS PVT LTD	AACCS7712C			521200	0	0	521200	0.09	521200	0	521200	0.09	0	0.09	0	0	21200	4.07	521200
<b>Sub-Total (A)(1)</b>			3	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.17	0	0	101801	10.45	974044
									0	0	0	0.00		0.00					
<b>2. FOREIGN</b>									0	0	0	0.00		0.00					
<b>Individuals (Non-Resident Individuals/ Foreign Individuals)</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
a.																			
<b>Government</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
b.																			
<b>Institutions</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
c.																			
<b>Foreign Portfolio Investor</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
d.																			
<b>ANY OTHER</b>									0	0	0	0.00		0.00					
f.									0	0	0	0.00		0.00					
<b>Sub-Total (A)(2)</b>			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
									0	0	0	0.00		0.00					
<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>			3	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.17	0	0	101801	10.45	974044

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Out standing convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
								Class X	Class Y	Total								
<b>1. INSTITUTIONS</b>																		
a. Mutual Funds/			0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
b. Venture Capital Funds			0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
c. Alternate Investment Funds			0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
d. Foreign Venture Capital Investors			0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
e. Foreign Portfolio Investors			3	55094999	0	0	55094999	9.80	55094999	0	55094999	9.80	9.80	55094999	100.000	NA	NA	55094999
Names:																		
QVT SINGAPORE FUND PTE. LTD.	AAACQ4301P		27531428	0	0	27531428	4.90	27531428	0	27531428	4.90	4.90	27531428	100.000	NA	NA	27531428	
TONBRIDGE (MAURITIUS) LIMITED	AAECT6664L		17916321	0	0	17916321	3.19	17916321	0	17916321	3.19	3.19	17916321	100.000	NA	NA	17916321	
LEEDS (MAURITIUS) LIMITED	AACCL5039R		9647250	0	0	9647250	1.72	9647250	0	9647250	1.72	1.72	9647250	100.000	NA	NA	9647250	
f. Financial Institutions/ Banks			5	2427901	0	0	2427901	0.43	2427901	0	2427901	0.43	0	0	0	0	0	2427901
g. Insurance Companies			2	78764	0	0	78764	0.01	78764	0	78764	0.01	0	0	0	0	0	78764
h. Provident Funds/ Pension Funds			0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0	0	0
i. ANY OTHER												0.00						
CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)			0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0	0	0
FOREIGN INSTITUTIONAL INVESTORS			0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0	0	0
FOREIGN FINANCIAL INSTITUTIONS			0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	0	0	0
Sub-Total (B)(1)			10	57601664	0	0	57601664	10.25	57601664	0	57601664	10.25	10.25	55094999	9.8033			57601664
Central Government/ State Government(s)/ President of India			0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0	0	0
Sub-Total (B)(2)			0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0	0	0
<b>3. NON-INSTITUTIONS</b>												0.00						
a. INDIVIDUALS -												0.00						
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.			104954	166212894	0	0	166212894	29.58	166212894	0	166212894	29.58	0	0	0	0	0	166168643

Table III

ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2436	191146348	0	0	191146348	34.01	191146348	0	191146348	34.01	0	34.01	0	0	NA	191146348
Names :																
NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
c. Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
Overseas Depositories (holding DRs)																
d. (balancing figure)	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	NA	0
e. ANY OTHER																
BODIES CORPORATE	1239	102060225	0	0	102060225	18.16	102060225	0	102060225	18.16	0	18.16	0	0	NA	102059825
Names :																
UNO METALS LTD		AAACU3053E	14462000	0	0	14462000	2.57	14462000	0	14462000	2.57	0	2.57	0	0	14462000
AKG FINVEST LTD		AADC8306P	20010000	0	0	20010000	3.56	20010000	0	20010000	3.56	0	3.56	0	0	20010000
ANGEL FINCAP PRIVATE LTD		AAACA7011Q	7677701	0	0	7677701	1.37	7677701	0	7677701	1.37	0	1.37	0	0	7677701
TRUSTS	8	390800	0	0	390800	0.07	390800	0	390800	0.07	0	0.07	0	0	NA	390800
DIRECTOR & THEIR RELATIVES	2	79095	0	0	79095	0.01	79095	0	79095	0.01	0	0.01	0	0	NA	79095
FOREIGN NATIONALS	25	81194	0	0	81194	0.01	81194	0	81194	0.01	0	0.01	0	0	NA	81194
NON RESIDENT INDIANS	930	11356798	0	0	11356798	2.02	11356798	0	11356798	2.02	0	2.02	0	0	NA	11356798
CLEARING MEMBERS	129	1027078	0	0	1027078	0.18	1027078	0	1027078	0.18	0	0.18	0	0	NA	1027078
HINDU UNDIVIDED FAMILIES	3741	29177027	0	0	29177027	5.19	29177027	0	29177027	5.19	0	5.19	0	0	NA	29177027
OTHERS	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
FOREIGN CORPORATE BODIES	2	1371597	0	0	1371597	0.24	1371597	0	1371597	0.24	0	0.24	0	0	NA	1371597
Names :																
ESOPs/ ESOS/ESPS EMPLOYEE SHARE HOLDERS	38	280964	0	0	280964	0.05	280964	0	280964	0.05	0	0.05	0	0	NA	279294
Sub-Total (B)(3)	113504	503184020	0	0	503184020	89.53	503184020	0	503184020	89.53	0	89.53	0	0		503137699
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	113514	560785684	0	0	560785684	99.78	560785684	0	560785684	99.78	0	99.78	55094999	9.82		560739363
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																
Name of the PAC	No. of Shares	% of PAC														
Tonbridge (Mauritius) Ltd & Leeds (Mauritius) Ltd	27563571	4.90														
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																

Table IV

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Share-holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Out standing convertible securities (including Warrants) (X)	Total Share-holding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)
									No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)	
									Class X	Class Y	Total								
1.	Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA		243207
2.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA		0
	<b>Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)</b>		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.04	0	0	NA		243207