

Annexure - I

Holding of specified securities (Statement Showing Shareholding Pattern)

1. **Name of Listed Entity : SUBEX LIMITED**
2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending **30-June-2017**
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	YES	
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?	YES	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)*	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting			Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A.	PROMOTER & PROMOTER GROUP	3	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.169	0	0	974044	100	974044
B.	PUBLIC	105339	560785684	0	0	560785684	99.78	560785684	0	560785684	99.78	15522785	99.789	55094999	9.825	0	0	560735633
C.	NON PROMOTER-NON PUBLIC	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.042	0	0	0	0	243207
C1.	SHARES UNDERLYING DRS	1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.042	0	0	0	0	243207
C2.	SHARES HELD BY EMPLOYEE TRUSTS	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Total	105343	561759728	0	243207	562002935	100	562002935	0	562002935	100	15522785	100.000	55094999	9.825	974044	100	561952884

*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																		
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class X	Class Y	Total								
1. INDIAN																		
Individuals/Hindu undivided Family																		
a.		2	452844	0	0	452844	0.08	452844	0	452844	0.08	0	0.078	0	0	452844	100.00	452844
Names :								0	0	0	0.00							
Subash Menon	AATPM5809J		80601	0	0	80601	0.01	80601	0	80601	0.01	0	0.014	0	0	80601	100.00	80601
Sudeesh Yezhuvath	AAAPY9060G		372243	0	0	372243	0.07	372243	0	372243	0.07	0	0.065	0	0	372243	100.00	372243
Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
Names :								0	0	0	0.00							
ANY OTHER								0	0	0	0.00							
Bodies Corporate		1	521200	0	0	521200	0.09	521200	0	521200	0.09	0	0.090	0	0	521200	100.00	521200
Names :								0	0	0	0.00							
KIVAR HOLDINGS PVT LTD	AACCS7712C		521200	0	0	521200	0.09	521200	0	521200	0.09	0	0.090	0	0	521200	100.00	521200
Sub-Total (A)(1)		3	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.169	0	0	974044	100.00	974044
								0	0	0	0.00							
2. FOREIGN								0	0	0	0.00							
Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
a. Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
b. Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
c. Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
d. ANY OTHER								0	0	0	0.00							
Names :								0	0	0	0.00							
Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
								0	0	0	0.00							
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	974044	0	0	974044	0.17	974044	0	974044	0.17	0	0.169	0	0	974044	100.00	974044

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (i)	PAN (ii)	Nos. of Shareholder (iii)	No. of fully paid up equity shares held (iv)	Parity paid-up equity shares held (v)	No. of Shares underlying Depository Receipts (vi)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Out standing convertible securities (including Warrants) (x)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
								Class X	Class Y	Total								
1. INSTITUTIONS																		
a. Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0	0	0	0	NA		0	
b. Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	NA		0	
c. Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	NA		0	
d. Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	NA		0	
e. Foreign Portfolio Investors		3	55094999	0	0	55094999	9.80	55094999	0	55094999	9.80	0	9.540	55094999	9.825	NA	55094999	
Names:																		
QVT SINGAPORE FUND PTE. LTD.	AAACQ4301P		27531428	0	0	27531428	4.90	27531428	0	27531428	4.90	0	4.767	27531428	4.899	NA	27531428	
TONBRIDGE (MAURITIUS) LIMITED	AAECT6664L		17916321	0	0	17916321	3.19	17916321	0	17916321	3.19	0	3.102	17916321	3.188	NA	17916321	
LEEDS (MAURITIUS) LIMITED	AAACCL5039R		9647250	0	0	9647250	1.72	9647250	0	9647250	1.72	0	1.670	9647250	1.717	NA	9647250	
f. Financial Institutions/ Banks		3	4004496	0	0	4004496	0.71	4004496	0	4004496	0.71	0	0.693	0	0	NA	4004496	
g. Insurance Companies/ Provident Funds/ Pension Funds		2	78764	0	0	78764	0.01	78764	0	78764	0.01	0	0.014	0	0	NA	78764	
h. ANY OTHER		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
i. CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
FOREIGN INSTITUTIONAL INVESTORS		2	2806956	0	0	2806956	0.50	2806956	0	2806956	0.50	0	0.486	0	0	NA	2806956	
FOREIGN FINANCIAL INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	NA	0	
Sub-Total (B)(1)		10	61985215	0	0	61985215	11.03	61985215	0	61985215	11.03	0	10.733	55094999	9.8033		61985215	
Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0		0	
3. NON-INSTITUTIONS																		
a. INDIVIDUALS -																		
I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		97336	144814517	0	0	144814517	25.77	144814517	0	144814517	25.77	0	25.075	0	0	NA	144766536	
II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		2095	154997170	0	0	154997170	27.58	154997170	0	154997170	27.58	0	26.838	0	0	NA	154997170	

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Share-holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Out standing convertible securities (including Warrants) (X)	Total Share-holding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)
									No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)	
									Class X	Class Y	Total								
1.	Custodian/DR Holder		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.042	0	0	NA		243207
2.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	NA		0
	Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		1	0	0	243207	243207	0.04	243207	0	243207	0.04	0	0.042	0	0			243207