

Holding of specified securities (Statement Showing Shareholding Pattern)

1. **Name of Listed Entity : SUBEX LIMITED**
2. Scrip Code / Name of Scrip / Class of Security 532348 / SUBEX / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for **Quarter ending 31-March-2016**
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	YES	
3 Whether the Listed Entity has any shares against which depository receipts are issued?	YES	
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A.	PROMOTER & PROMOTER GROUP	3	974044	0	0	974044	0.231	974044	0	974044	0.231	0	0.1861	0	0	974044	100	974044
B.	PUBLIC	107293	420530969	0	0	420530969	99.711	420530969	0	420530969	99.711	101589402	99.767	0	0	0	0	420480016
C.	NON PROMOTER-NON PUBLIC	1	0	0	243207	243207	0.0577	243207	0	243207	0.0577	0	0.0465	0	0	0	0	243207
C1.	SHARES UNDERLYING DRS	1	0	0	243207	243207	0.0577	243207	0	243207	0.0577	0	0.0465	0	0	0	0	243207
C2.	SHARES HELD BY EMPLOYEE TRUSTS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	107297	421505013	0	243207	421748220	100	421748220	0	421748220	100	101589402	100.000	0	0	974044	100	421697267

*Please note that the shareholding as a % assuming full conversion of convertible securities (Column XI) has been arrived at for all categories of shareholders

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																			
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a)		As a % of total Shares held (b)	
								Class X	Class Y	Total									
1. INDIAN																			
a. Individuals/Hindu undivided Family		2	452844	0	0	452844	0.107	452844	0	452844	0.107	0	0.087	0	0	452844	100.00	452844	
Names :								0		0			0.000						
Subhash Menon	AATPM5809J		80601	0	0	80601	0.019	80601	0	80601	0.019	0	0.015	0	0	80601	100.00	80601	
Sudeesh Yezhuvath	AAAPY9060G		372243	0	0	372243	0.088	372243	0	372243	0.088	0	0.071	0	0	372243	100.00	372243	
b. Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0.000	0	0	0	0	0	
c. Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0.000	0	0	0	0	0	
Names :								0		0			0.000						
d. ANY OTHER								0		0			0.000						
Bodies Corporate		1	521200	0	0	521200	0.124	521200	0	521200	0.124	0	0.100	0	0	521200	100.00	521200	
Names :								0		0			0.000						
KIVAR HOLDINGS PVT L	AACCS7712C		521200	0	0	521200	0.124	521200	0	521200	0.124	0	0.100	0	0	521200	100.00	521200	
Sub-Total (A)(1)		3	974044	0	0	974044	0.231	974044	0	974044	0.231	0	0.186	0	0	974044	100.00	974044	
2. FOREIGN																			
a. Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
b. Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c. Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d. Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
f. ANY OTHER																			
Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	974044	0	0	974044	0.231	974044	0	974044	0.231	0	0.186	0	0	974044	100.00	974044	
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of	Number of Voting Rights held in each class of securities			No. of Shares Underlying Out standing convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
								Class X	Class Y	Total								
1. INSTITUTIONS																		
a. Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
b. Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
c. Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
Financial Institutions/ Banks		3	3274345	0	0	3274345	0.78	3274345	0	3274345	0.78	0	0.626	0	0	NA	3274345	
Insurance Companies		2	78764	0	0	78764	0.02	78764	0	78764	0.02	0	0.015	0	0	NA	78764	
Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.000	0	0	NA	0	
i. ANY OTHER								0		0			0.000					
CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	0	0	0.000	0	0	NA	0	
FOREIGN INSTITUTIONAL INVESTORS		2	975257	0	0	975257	0.23	975257	0	975257	0.23	0	0.186	0	0	NA	975257	
FOREIGN FINANCIAL INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.000	0	0	NA	0	
								0		0			0.000					
Sub-Total (B)(1)		7	4328366	0	0	4328366	1.03	4328366	0	4328366	1.03	0	0.827	0	0		4328366	
								0		0			0.000					
Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0	0	0.000	0	0	NA	0	
								0		0			0.000					
Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0.000	0	0		0	
								0		0			0.000					
3. NON-INSTITUTIONS								0		0			0.000					
a. INDIVIDUALS -								0		0			0.000					
I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		99441	143977508	0	0	143977508	34.14	143977508	0	143977508	34.14	0	27.511	0	0	NA	143928625	
II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		1895	129184210	0	0	129184210	30.63	129184210	0	129184210	30.63	0	24.685	0	0	NA	129184210	

Table III

Names :								0		0										0.000										
NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.000	0	0	NA												0
Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.000	0	0	NA												0
Overseas Depositories (holding DRs)																														
(balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.000	0	0	NA												0
ANY OTHER								0		0				0	0.000															
BODIES CORPORATE		1535	95994040	0	0	95994040	22.76	95994040	0	95994040	22.76	0	18.343	0	0	0	0	NA												95993640
Names :								0		0			0	0.000																
AKG FINVEST LTD	AADCA8306P		11297000	0	0	11297000	2.68	11297000	0	11297000	2.68	0	2.159	0	0	0	0	0												11297000
TRUSTS		7	248600	0	0	248600	0.06	248600	0	248600	0.06	0	0.048	0	0	0	0	NA												248600
DIRECTOR & THEIR RELATIVES		1	60000	0	0	60000	0.01	60000	0	60000	0.01	0	0.012	0	0	0	0	NA												60000
FOREIGN NATIONALS		27	87352	0	0	87352	0.02	87352	0	87352	0.02	0	0.017	0	0	0	0	NA												87352
NON RESIDENT INDIANS		830	6839809	0	0	6839809	1.62	6839809	0	6839809	1.62	0	1.307	0	0	0	0	NA												6839809
CLEARING MEMBERS		225	7963057	0	0	7963057	1.89	7963057	0	7963057	1.89	0	1.522	0	0	0	0	NA												7963057
HINDU UNDIVIDED FAMILIES		3278	17564643	0	0	17564643	4.16	17564643	0	17564643	4.16	0	3.356	0	0	0	0	NA												17564643
OTHERS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.000	0	0	0	0	NA												0
FOREIGN CORPORATE		3	13348886	0	0	13348886	3.17	13348886	0	13348886	3.17	101589402	21.96	0	0	0	0	NA												13348886
Names :								0		0																				
QVT MAURITIUS WEST FUND	AAACQ1738J		12000698	0	0	12000698	2.85	12000698	0	12000698	2.85	0	2.293	0	0	0	0	0												12000698
ESOPs/ ESOS/ESPS																														
EMPLOYEE SHARE		44	934498	0	0	934498	0.22	934498	0	934498	0.22	0	0.179	0	0	0	0	NA												932828
Sub-Total (B)(3)		107286	416202603	0	0	416202603	98.69	416202603	0	416202603	98.69	0	79.529	0	0	0	0													416151650
								0		0																				
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		107293	420530969	0	0	420530969	99.71	420530969	0	420530969	99.71	101589402	99.770	0	0	0	0												420480016	
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																														
Name of the PAC	N of Shares	% of PAC																												
QVT Mauritius West Fund & Quintessence Mauritius West Fund	13348341	3.17																												
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																														

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities	Total Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV) (Not applicable)
								No of Voting Rights			Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable)	
								Class X	Class Y	Total								
1. Custodian/DR Holder		1	0	0	243207	243207	0.058	243207	0	243207	0.058	0	0.0465	0	0	NA		243207
2. Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0	NA		0
Total Non-Promoter-Non Public Shareholding (C)=(C)(1)+(C)(2)		1	0	0	243207	243207	0.058	243207	0	243207	0.058	0	0.0465	0	0			243207